## Correspondence

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# Protective efficacy of a monovalent oral type 1 poliovirus vaccine

We are shocked and dismayed that *The Lancet* should have published the paper on the protective efficacy of monovalent oral type 1 poliovirus vaccine by Nicholas Grassly and colleagues (April 21, p 1356),<sup>1</sup> having overlooked the serious ethical issues involved.

The article describes how the international oversight body on polio eradication recommended the rapid development, licensing, and introduction of a new monovalent type 1 oral vaccine for India. WHO (and its organ, the National Polio Surveillance Project [NPSP], from where some of the authorship of this article was drawn) was party to this accelerated introduction of the new vaccine in the country. What was introduced, according to this article, was a new vaccine that was five times more potent than previous vaccines, presumably also with increased likelihood of adverse effects. No informed consent was taken, nor was the public told that the vaccine was experimental. Efforts were made to give the impression that the monovalent vaccine was not new but was just the monovalent vaccine used in the 1960s, before the introduction of the trivalent vaccine.<sup>2</sup>

The oversight body that introduced this experimental vaccine should also have monitored adverse effects. Now that same body has published a paper without ethics approval on the grounds that it was not a prospective intervention study.

In the absence of proper postvaccination surveillance of adverse effects we have to rely on indirect evidence of possible adverse effects available from the NPSP.<sup>3</sup> Data from Uttar Pradesh (where Grassly and colleagues show improved vaccine efficacy) show an increase in the incidence of nonpolio acute flaccid paralysis since the introduction of the monovalent vaccine.  $\!^3$ 

We declare that we have no conflict of interest.

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- Grassly NC, Wenger J, Durrani S, et al.
  Protective efficacy of a monovalent oral type 1 poliovirus vaccine: a case-control study.
   Lancet 2007; 369: 1356–62.
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Nicholas Grassly and colleagues<sup>1</sup> conclude that monovalent oral polio vaccine is about three times more efficacious than trivalent oral polio vaccine in the northern Indian state of Uttar Pradesh.

Their hypothesis was that a "high prevalence of diarrhoea and other infections in areas of high population density and poor sanitation has led to very low efficacy of trivalent oral polio vaccine". That is probably the reason polio could not be eradicated from these areas and hence the need to look for alternatives.

Grassly and colleagues conclude that "the increased efficacy [of the monovalent vaccine] is probably caused by the absence of interference between the three Sabin vaccine strains." However, if the interference is between the three strains of poliovirus, then this hypothesis is probably not relevant and the conclusion applicable wherever trivalent vaccine is used. Can Grassly and colleagues kindly explain the apparent contradiction between the hypothesis—the raison d'être for the study—and the conclusion?

If the hypothesis is true, would it not be better to use inactivated vaccine at this stage of polio eradication in this limited area, which would do away with the problem of interference with other infections and diarrhoea altogether? Wouldn't that be a more cost-effective option than experimenting with oral vaccines? Ideclare that I have no conflict of interest.

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#### **Authors' reply**

Jacob Puliyel and colleagues highlight the importance of ensuring appropriate ethical standards are adhered to in the course of scientific studies. In our study of the field efficacy of monovalent oral type 1 poliovirus vaccine (mOPV1), we analysed existing surveillance data from acute flaccid paralysis (AFP) cases collected since 1997. The vaccines assessed were licensed for administration in India by the national regulatory authority, the Drugs Controller General of India. The mOPV1 formulation assessed in our study has been used since mid-2005 by the Government of India, and now in over 20 countries around the world. The absence of interference from types 2 and 3, particularly type 2, seems to be the reason for the three-fold greater efficacy per dose of mOPV1 against paralysis from type 1 wild poliovirus compared with trivalent vaccine in northern India.

To clarify the background to the increase in cases of AFP in recent years noted by Puliyel and colleagues, this is the result of a deliberate effort that began in 2004 to intensify surveillance and reporting as India pushes to eradicate polio. The increase in AFP cases began before mOPV1 was introduced, and occurred across India, including states where mOPV1 has not been used. The introduction of mOPV1 is not, therefore,



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the cause of the increase in cases of AFP. The National Polio Surveillance Project continues to monitor cases of vaccine-associated paralytic poliomyelitis (VAPP), which typically occur at a rate of two to four cases per million birth cohort immunised with trivalent oral poliovirus vaccine.<sup>1</sup> The postmarketing surveillance of mOPV1 that was implemented as part of its licensing has not detected any increase in the proportion of AFP cases with VAPP in areas where this vaccine has been used.

That the efficacy of mOPV1 is still lower in northern India than in high-income countries seems to be mainly due to the fact that the efficacy of oral poliovirus vaccines is reduced in children with diarrhoea or other infections.<sup>2,3</sup> Contrary to the suggestion of Paul Francis, removing the problem of interference between vaccine virus strains does not address this problem of diarrhoea or other infections. Francis also suggests the use of inactivated poliovirus vaccine (IPV) in limited areas of India. Although successful immunisation with IPV would protect children paralytic against poliomyelitis, children immunised solely with IPV are much more likely to transmit poliovirus than those immunised with oral poliovirus vaccine (OPV)as evidenced by challenge studies, which show a significantly lower effect of IPV on faecal excretion than OPV.<sup>4,5</sup> Therefore the effect of IPV on transmission of wild poliovirus can be expected to be substantially less than that of OPV, particularly in settings where faecal-oral transmission is important, such as northern India.

That said, if a decision were made to add IPV as a supplement to immunisation with OPV, and if high coverage could be achieved, IPV could potentially boost immunity and might reduce the number of paralysed children while eradication campaigns are intensified in the limited remaining poliovirus reservoir areas of northern India. Recognising this, the Government of India is planning a seroprevalence survey to assess the immunity of young children in western Uttar Pradesh. If deemed appropriate, this will be followed by a pilot study to determine whether sufficiently high coverage could be achieved with a supplemental dose of IPV in western Uttar Pradesh to effectively improve population immunity.

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### Surveillance of acute flaccid paralysis in India

The Comment by Paul Francis (April 21, p 1322)<sup>1</sup> is based on a misunderstanding of poliomyelitis surveillance methods, and particularly the definition of "poliomyelitis-compatible" cases. Francis assumes that compatible cases are true cases and uses them to inflate the number of polio cases in India. He further suggests that standard surveillance methods and interpretation of

compatible cases have contributed to persistence of poliovirus transmission in India.

In fact, poliomyelitis-compatible cases are those from whom adequate specimens were not collected for laboratory assessment. In India, if the expert committee cannot rule out poliomyelitis, the case is considered compatible. The purpose of this classification is "to indicate the failure of a surveillance system to collect adequate specimens... and to ensure that an area... remains under close observation".<sup>2</sup> Poliomvelitis compatibles are thus not to be equated with poliomyelitis cases, but serve a programmatic function identifying areas that need to improve surveillance.

Poliovirus persists in India not because virus transmission was missed through slipshod surveillance, but because of challenges eliminating the virus in areas in which it is known to exist.3 Francis erroneously concludes that continued polio transmission in India is linked to surveillance failure and that introduction of a new surveillance "quality indicator" based on compatible cases will help address the issue. The risk of these assertions lies in the diversion of attention from the real challenges of polio eradication-protection of children through immunisationto surveillance, which, in India, is operating at a higher level of sensitivity than in any other country in Asia.

We declare that we have no conflict of interest.

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#### **Author's reply**

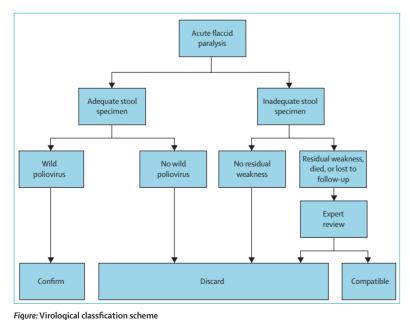
Contrary to Sunil Bahl and colleagues' contention, I did not suggest that confirmed cases of polio and polio-compatible cases were the same thing.

Poliomyelitis cases are confirmed only when poliovirus is identified in the stools of a patient with acute flaccid paralysis (AFP). However, it is impossible to collect stool samples from all such patients, which satisfy the stringent conditions to be labelled as adequate. When an AFP patient without an adequate stool sample has residual paralysis beyond 60 days from the date of onset, detailed clinical, laboratory, and epidemiological investigations are done, and the evidence is submitted to the National Expert Review Committee. The committee sifts through the available evidence and diagnoses any poliomyelitis cases; these are classified as "polio-compatible" cases (figure).

One of the essential criteria for certification of polio-free status is that the rate of collection of adequate stools should be 80%, since at least 80% of polio cases have to be "confirmed". However, we must not ignore the potential polio cases we cannot confirm, hence this secondbest method of identifying them. Nevertheless, polio-compatible cases are kept as a separate category from confirmed polio cases. When we are into the business of polio eradication we are interested in polio and nothing else.

In terms of the performance of the surveillance system in India, Kohler and colleagues<sup>1</sup> found that "as a result [of measures taken to improve surveillance] the proportion of compatible cases was lower in 2001 than in 2000". This shows a realisation early on that there is a need to reduce the number of compatible cases. Since AFP surveillance is an important strategy to eradicate polio, surveillance quality will affect the eradication process. India has made great progress towards polio eradication and the National Polio Surveillance Project has been doing excellent AFP surveillance. However, delay in achieving the goal of eradication compels us to look beyond what is known, and this anomaly of more than 20% of polio cases being "compatible" should be addressed.

I declare that I have no conflict of interest.



Source: National Polio Surveillance Project website (http://www.npspindia.org).

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1 Kohler KA, Hlady GW, Banerjee K, et al. Compatible poliomyelitis cases in India during 2000. Bull World Health Organ 2003; **81:** 2–9.

# Eradication versus control for poliomyelitis

Two articles (April 21, pp 1321 and 1356)<sup>1,2</sup> have highlighted the need to sustain high levels of immunisation for the eradication of wild poliovirus. However, data on health systems indicators for the Indian states of Uttar Pradesh and Bihar<sup>3,4</sup> raise questions about whether the singular focus on efficacious vaccines will be able to overcome the weaknesses in the health services in these two states.

Both Uttar Pradesh and Bihar were unable to report (as of September, 2005, the year before a polio outbreak), the number of primaryhealth centres (PHCs) without a doctor. In Uttar Pradesh, 25% of auxiliary nurse-midwife positions and 72% of health-worker positions were vacant.3 Although only 5% of PHCs in Uttar Pradesh were reportedly without an electricity supply, 75% of subcentres were without electricity, 59% were without a regular water supply, and 56% did not have motorable roads. In a state that is notoriously powerdeficient, pulse polio immunisation rounds were done in the month of April, when average temperatures are around 40°C.

In Uttar Pradesh and Bihar, 23.8% and 18.6% of deliveries are institutional, and only 20% and 31% of infants are fully immunised.<sup>4</sup> Although 80% of children report having had three doses of oral polio vaccine, the timeliness of the doses being given becomes questionable, since only 27.8% and 45.2% of rural children in these two states complete routine immunisation with three doses of triple antigen.



Cost-benefit studies<sup>2</sup> that favour eradication through a concentrated vaccination effort should examine the larger picture. This should include an assessment of the consequent effects of targeted polio eradication activities on health and health systems in poor countries.

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- Thompson KM, Duintier Tebbens RI. 1 Eradication versus control for poliomyelitis: an economic analysis. Lancet 2007; 369: 1363-71. 2 Grassly NC, Wenger J, Durrani S, et al.
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The extensive economic analysis by Kimberly Thompson and Radboud Duintjer Tebbens<sup>1</sup> plausibly favours polio eradication; however, the expenditure assumptions seem provincial, ignoring grass-roots logic.

Unlike smallpox eradication, a "magic bullet" approach (vaccination alone) is unlikely to yield a solution for polio, which is more of a sanitation problem. Poliovirus is known to resurface after two decades of environmental dormancy and the oral vaccine can eventually give rise to vaccine-derived viral infection.<sup>2</sup> Again, in the real world, foreign investment soon slips into the "donor fatigue" phase and the recipient (developing nation) eventually gets pulled into a debt trap.<sup>2</sup> Furthermore, the lack of political commitment and waning motivation of community health workers make a unique set of financial impediments in a country such as India.3

The proposed eradication strategy would imply even greater costs than already assumed in the analysis because of its collateral effect on the existing routine vaccination infrastructure,4 and thus its adverse consequences on other preventable diseases which cause more deaths than polio.

In a country with high rates of malnutrition and diarrhoeal diseases, and low rates of routine immunisation (90% of infants in Bihar and 81% in Uttar Pradesh are not fully immunised),<sup>5</sup> an integrated approach taking into account strategies such as strengthening ongoing routine immunisation, and improving nutrition, safe water, and sanitation could justify the economically escalated strategy proposed by Thompson and Tebbens.

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Kimberly Thompson and Radboud Duintier Tebbens<sup>1</sup> model the economic and health effects of strategies for poliomyelitis eradication and control. They conclude that eradication (defined as the interruption of wild poliovirus

transmission globally) is less costly and more effective than control.

Thompson and Radboud previously estimated a 50-100% chance of a poliomyelitis outbreak within 20 years of eradication and oral polio vaccine cessation.<sup>2</sup> Sustained eradication is not achievable without continued vaccination. As Paul Fine and Ulla Griffiths correctly note in their accompanying Comment,<sup>3</sup> use of live vaccine to control future outbreaks is fighting fire with fire. To leave future birth cohorts unprotected would be irresponsible given the acknowledged chance for future infections, possibly at older ages when there is an increased rate of paralytic poliomyelitis per infection.

Any discussion of the economic cost of polio eradication should include a transparent and pragmatic assessment of the posteradication options from the outset.4 A realistic estimate of the cost of sustainable poliomyelitis eradication must include the cost of continued vaccination-about US\$3-6 billion every 20 years for low-income countries only,1 in line with other estimates of \$20-24 billion for the entire world.<sup>5</sup> Claimed funding shortfalls for the Global Polio Eradication Initiative (GPEI) of \$575 million are therefore illusory.

Semantic redefinitions of polio eradication might serve political goals and promises but should not come at the jeopardy of poor countries that look for public-health quidance. GPEI can celebrate the striking reductions in poliomyelitis. Failure to ensure longterm protection by not accounting for the continued need for vaccination would risk greater incidence and be negligent.

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#### **Authors' reply**

We agree with Anita Kar that cost-benefit studies related to eradication versus control should examine the larger picture, and we believe that our study represents the most extensive effort to date to do so. We focused part of our study on Uttar Pradesh and Bihar because they present large challenges. Our results suggest that, in the absence of targeted eradication activities (ie, under a policy of control relying only on routine vaccination through the weak health services in these two states), cases of paralytic poliomyelitis could rapidly resurge to large numbers in those areas and beyond. We suggest that the solution to the weak health system in areas of poverty is not acceptance, but greater investment to achieve significant and cost-effective improvements.

Nishith Singh and colleagues raise important issues related to rethinking strategies for poliomyelitis. Although they suggest that polio represents a "sanitation problem" (by pointing to an Albanian outbreak that resulted from importation<sup>1,2</sup>), environmental dormancy and non-human reservoirs are not issues.<sup>3</sup> Our study emphasises that eradication requires simultaneous elimination of wild polioviruses everywhere, which would prevent future wild poliovirus importation outbreaks that might otherwise be (mis)perceived as environmental.

We appreciate the opportunities to strengthen routine immunisation and provide additional beneficial interventions, and we emphasise that eradication of wild polioviruses seems to offer the best deal from both a humanitarian and economic perspective. Focusing on short-term opportunity costs associated with completing eradication should be weighed against the opportunity costs of forever paying the financial costs of control and human costs of cases that could have been prevented, which will continue to burden the system

Finally, we agree with Katherine Sturm-Ramirez and Mark Miller that the economic costs of polio eradication should include transparent and pragmatic assessment of posteradication options. We explicitly included the costs and risks of four posteradication options in our study, but we do not presume as they suggest that global and national health leaders will uniformly choose to continue vaccination in perpetuity after eradication, particularly given the reality of vaccine-derived polioviruses causing outbreaks in areas with low oral polio vaccine (OPV) coverage,<sup>4</sup> the relatively high costs of inactivated vaccine (IPV), which posed a challenge from an economic perspective even in high-income countries,<sup>5</sup> and the low risk of emergence of new circulating vaccine-derived polioviruses 3 or more years after OPV cessation.

However, even with IPV vaccination after eradication, our analysis suggests net benefits of polio eradication versus control forever. We believe the goal of the Global Polio Eradication Initiative has always been to eradicate wild polioviruses and that this remains a goal that dominates the alternatives. We previously characterised the probability of at least one posteradication outbreak (defined as at least one paralytic case),<sup>4</sup> considering the real risks that exist as a function of the policy options for managing polioviruses into the future (including continued OPV use). This exercise led us to emphasise the need for global health authorities to have adequate response strategies in place.

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### Bans on smoking in public places: policy enforcement needed

Passing laws to ban smoking in See Comment page 110 public places is a vital step towards a smoke-free Europe. As noted by Laura Spinney (May 5, p 1507),<sup>1</sup> the decision by the members of European parliament to scrap laws to make their workplace smoke-free creates great concern about the implementation of these much needed measures in Europe, and especially in Greece, which is the country stigmatised as Europe's reigning champion in adult-smoking prevalence.<sup>2</sup>

Smoke-free environments in Greece are scarce. Despite existent legislation that forbids smoking in all educational institutes, environmental tobacco smoke is evident in establishments



from primary schools to university campuses, mainly because of the noncompliance of teachers, staff, and students. Even health-care services are not always smoke-free, despite being declared to be so since 2002.<sup>3</sup> Medical doctors and nursing staff can be noticed smoking in rest rooms and corridors, posing an obvious threat to their patients' fragile health; some pharmacists provide medication over the counter while puffing on cigarettes. One need not ponder over compliance in designated smoke-free areas in hospitality venues, since, as stated previously,4 compliance with such legislation in Greece is completely non-existent.

Passing laws banning smoking in public places is one matter, but as painfully seen in Greece, enforcing it is another. Declaring the environment smoke-free without proper control mechanisms that will actually deal with violators will lead to implementation of the law merely on paper and not in practice. A total ban on smoking in public places in Greece will also be openly disregarded unless feasible means of regulation are adopted and strictly complied with. Considering Greece's current situation, it is becoming apparent that the missing link in Europe's evolution from a smoky past to a smoke-free future is effective policy enforcement.

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# Tobacco control efforts: where is India now?

70% of the billion or so tobaccorelated deaths expected by 2025 will occur in developing countries.<sup>1</sup> Such staggering figures could have a profound effect on the global economy.

India is the second largest producer and consumer of tobacco products worldwide,<sup>2</sup> but it has taken great strides to be recognised as a global player in the fight against the tobacco epidemic. The recent legislation to ban smoking while driving vehicles in the national capital, New Delhi, is a landmark verdict.<sup>3</sup> No doubt compliance and enforcement will be a challenge, but the motivation and the seriousness of perceiving the dangers of second-hand smoke exposure are crucial.

India's role in pushing forward WHO's Framework Convention on Tobacco Control (FCTC) is well known. Such an effort honoured the Indian Health Ministry with the prestigious Luther Terry Award in Washington, DC, USA, in 2006. What is even more heartening is India's successful bid to host the 14th World Tobacco Conference in the commercial capital, Mumbai, in February, 2009.4 The organisers of this event cannot wait to see their dreams coming true as Mumbai is declared "smoke-free".

India's tobacco use is complex. Most consume smokeless tobacco products, which are socially acceptable and therefore difficult to "denormalise". 40% smoke bidis,5 the small, often flavoured cigarettes, that are still non-taxable, and whose production is considered to be the "lifeline" of thousands of hungry stomachs. 40% of physicians continue to smoke. Urban youngsters are lured into smoking because of tobacco companies' dubious product innovations and glamorous media campaigns. The Bollywood film industry is also a powerful influence.

70% of India's population lives in rural areas where illiteracy is high.

Full implementation of the FCTC principles will make smoking history in India, but are rich and powerful nations doing enough to support such a movement?

We declare that we have no conflict of interest.

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